RESURETY

Resurety Markets, LLC

Participant Notice 26-11

RE: Notice of Amendments: Participant Agreement Updates (Exhibits A & B)

To : Resurety Markets LLC SEF Authorized Representatives

From : Resurety Markets LLC SEF Compliance and Operations

Authorized Representatives,

Pursuant to CFTC Regulation 40.6(a), Resurety Markets LLC ("Resurety Markets") has self-certified amendments to its Participant Agreement, effective October 14, 2026.

These revisions support compliance with the Commodity Exchange Act (CEA) and CFTC Part 37 regulations—specifically Swap Execution Facility (SEF) Core Principles 2, 5, 7, and 10—by enhancing operational administration, credit oversight, and recordkeeping.

Summary of Key Amendments

- **Exhibit A (Participant Application Form) – Standardized Billing Administration:** Adds dedicated billing contact fields (name, address, phone, and email) to Section A. Capturing these details ensures fee invoices, volume adjustments, and notices route directly to appropriate finance departments, preventing administrative delays and billing disputes.
- **Exhibit B (Affiliate Addendum) Restructuring: – Guarantor Credit & ECP Oversight:** Mandates that Special Purpose Vehicles (SPVs) and Affiliates relying on external or parent credit support disclose their guarantor's full legal name and country of domicile. Under CFTC Regulation § 37.202 and Core Principle 7, this allows Resurety Markets to verify that credit support is legally enforceable across jurisdictions and that entities satisfy Eligible Contract Participant (ECP) status before access is granted, safeguarding bilateral swaps executed on CleanTrade.

You may access the submission letter and the amended documents on the Resurety regulatory resources page at https://resurety.com/cleantrade-regulatory-resources/.

RESURETY

For Resurety Markets SEF compliance questions, please contact:

Garrett Derbyshire, Chief Compliance Officer (CCO)

Email: compliance@resurety.com | **Phone:** (617) 804 6997

For Resurety Markets SEF administrative questions, please contact:

Emma Marjollet, Customer Success Manager

Email: markets.support@resurety.com | **Phone:** (617) 932-7446