



Resurety Markets, LLC

Participant Notice 26-07

RE: Notice of Amendments: Participant Agreement Updates (Exhibits A & B)

To : Resurety Markets LLC SEF Authorized Representatives

From : Resurety Markets LLC SEF Compliance and Operations

Authorized Representatives,

Resurety Markets, LLC ("Resurety Markets") has amended **Exhibits A and B** of its Participant Agreement. These updates improve disclosure clarity, standardize data capture, and streamline participant eligibility assessments in compliance with Commodity Exchange Act (CEA) and CFTC Part 37 regulations. Pursuant to CFTC Regulation 40.6(a), the amendments will become effective **August 4, 2026**.

Summary of Key Amendments

- **Exhibit A Enhancements:**
 - **Standardized Entry & Disclaimers:** Added standardized checkboxes for manual entry and mandatory information disclosure disclaimers.
 - **Strengthened Guidance:** Updated instructions for Eligible Contract Participants (ECPs) and Authorized Representatives, including clear "N/A" formatting guidelines for open management roles.
 - **New Content & Structure:** Integrated a CleanTrade FAQ link and introduced a dedicated Organizational Chart section (**Section F**).
- **Exhibit B (Affiliate Addendum) Restructuring:**
 - **Relocation:** Exhibit B moved to the end of the Participant Agreement.
 - **Updated Guidance:** Clarified its optional status, Legal Entity Identifier (LEI) requirements, and options for multi-affiliate forms.

You may access the submission letter and the amended documents on the Resurety regulatory resources page at <https://resurety.com/cleantrade-regulatory-resources/>.

For Resurety Markets SEF compliance questions, please contact:

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