



REsurety Markets, LLC

Participant Notice 26-05

RE: Notice of Amendments: CleanTrade Block Trading Implementation

To : REsurety Markets LLC SEF Authorized Representatives

From : REsurety Markets LLC SEF Compliance and Operations

Authorized Representatives,

REsurety Markets, LLC ("REsurety Markets") has amended its Rulebook, Compliance Manual, and Schedule of Fees to remove provisions prohibiting Block Trades on the CleanTrade platform and incorporate related compliance controls. These amendments are intended to facilitate the potential future implementation of Block Trading functionality. Pursuant to CFTC Regulation 40.6(a), the amendments will become effective **May 22, 2026**.

Summary of Amendments

- **Rulebook:** Amended Rule 320(d) to permit Block Trades via the Request for Quote (RFQ) function, added a "Block Trade" definition to Chapter 1, and established the new On-Platform Governance (Rule 546), which addresses:
 - Block Trade designation
 - Participant eligibility and consent
 - Fair and reasonable pricing controls
 - Reporting requirements
- **Compliance Manual:** Updated relevant policies and internal procedures to reflect block trading-related controls, recordkeeping, surveillance, and reporting enhancements.
- **Schedule of Fees:** Added a dedicated section defining the applicable fee structure for Block Trades and noted the minimum notional value requirements for fee tracking.

You may access the submission letter and the amended documents on the REsurety regulatory resources page at <https://resurety.com/cleantrade-regulatory-resources/>.

For REsurety Markets SEF compliance questions, please contact:

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For REsurety Markets SEF administrative questions, please contact:

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